Approved for use through 10/31/2002. OMB 0851-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO	•	Complete If Known
	Application Number	10/797,669
INFORMATION DISCLOSURE	Filing Date	March 10, 2004
STATEMENT BY APPLICANT	First Named Inventor	Vinay Gupta et al.
	Group Art Unit	Not Vet Assigned 2 65
(use as many sheets as necessary)	Examiner Name	Not Yet Assigned RADYKE
Sfeet 1 of 1	Attorney Docket Number	112056-015111

				U.S. PATENT DOCUMEN	TS	
Examiner Initials *	Cite No.1	Number	ocument d Code ² (nown)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relovant Figures Appear
MR	1	6,728,897	B1	Cramer, et al.	04-27-2004	
MR	2	4,937,763		Mott	06-26-1990	•
MR	3	5,067,099		McCown, et al.	11-19-1991	
MR	4	5,781,770		Byers, et al.	07-14-1998	
MR	5	5,633,999		Clówes, et al.	05-27-1997	
MR	6	5,157,663		Major, et al.	10-20-1992	
MR	7	6,625,749	B1	Quach	09-23-2003	
MR	В	5,812,751		Ekrot, et al.	09-22-1998	
MR	9	6,292,905	B1	Wallach, et al.	09-18-2001	
MR	10	6, 119, 244		Schoenthal, et al.	09-12-2000	
MR	11	5,951,695		Kolovson	09-14-1999	
MR	12	5,812,748		Ohran, et al.	09-22-1998	
					<u> </u>	

		1				

FOREIGN PATENT DOCUMENTS									
Function	·	E	oreign Patent Do	current	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,		
Examine r Initials*	Cite No.1		Applicant of Cited Document	Cited Document MM- DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ₆			
	-								
									
•		_							
		1						_	
4.1.					C				

_				
	Examiner Signature	/Mark Radtke/	Date Considered	12/04/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

FEB 0 2 2005

Approved for use through 10/31/2002. OMB 0851-0031*
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

stitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete If Known					
Application Number	10/797,669				
Filing Date	March 10, 2004				
First Named Inventor	Vinay Gupta et al.				
Group Art Unit	Net-Yet Assigned 2165				
Examiner Name	Not Yet Assigned RADTKE				
Attorney Docket Number	112056-0151U				

U.S. PATENT DOCUMENTS							
Examiner tritials *	Cite No.1		d Code ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
MR	1	6,775,702	В2	OEDA et al.	08-10-2004		
	ļ						
	<u> </u>						
					-		
	-		1		- 		
						·	
					- 		
	 						
-							
						•	
	-						
					1		

FOREIGN PATENT DOCUMENTS									
		Fo	oreign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages or		
Examine r initials*	Cite No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM- DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ₆	
							ļ		
			ļ						
		<u> </u>	- 1						
0.0		ļ							
			<u> </u>						
			ļ			<u> </u>			
									
			<u> </u>						
			1						

Examiner Signature	/Mark Radtke/	Date Considered	12/04/2006

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) inside	this box	ı
------------------------------------	----------	---

PTO/SB/08A (08-00) ...

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

	Substitute	for form 144	9A/PTO		Complete if Known				
					Application Number	10/797,669			
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	March 10, 2004					
			First Named Inventor	Vinay Gupta et al.					
					Group Art Unit	Not Yet Assigned 2/65			
		(use as ma	ny sheets as	necessary)	Examiner Name	Not Yet Assigned RADTRE			
_	Sheet	2	of	2	Attorney Docket Number	112056-0151U			

Examiner Initials *	Cite No.1	number(s), publisher, city and/or country where published.					
MR.	2	ANUPAM BHIDE, ELMOOTAZBELLAH N. ELNOZAHY, STEPHEN P. MORGAN, "A Highly Available Network File Server," Proceedings of the Winter 1991 USENIX Conference, Jan. 21-25, 1991, Pgs. 199-205.					
"							
		·					

Examiner Signature	/Mark Radtke/	Date Considered	12/04/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.